

琉球大学学術リポジトリ

対合同変正則写像空間の位相幾何

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**Configuration spaces and
rational functions**

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§1. Arnold's results

V.I. Arnold: On some topological invariants of algebraic functions.

Trudy Moscov. Mat. Obshch. 21, 27–46 (1970);

English transl. in Trans. Moscow Math. Soc. 21, 30–52 (1970).

Today I will consider a certain question which originates in this paper.

Roughly speaking, the question is:

To study the relationship between the space of polynomials with n -fold roots and the space of n -tuples of polynomials with common roots

Definition of the configuration space

We set

$$C_k(\mathbb{C}) = \{(\alpha_1, \dots, \alpha_k) \in \mathbb{C}^k : \alpha_i \neq \alpha_j \text{ if } i \neq j\} / \Sigma_k,$$

where Σ_k is the symmetric group on k letters.

Interpretation of $C_k(\mathbb{C})$

(1) $C_k(\mathbb{C}) = \{f(z) = z^k + a_1 z^{k-1} + \dots + a_k : a_i \in \mathbb{C}, f(z) \text{ does not have a multiple root}\}.$

(2) $C_k(\mathbb{C}) = K(\beta_k, 1),$

where β_k is Artin's braid group on k -strings.

Today we use (1).

Example.

$$(1) C_1(\mathbb{C}) = \mathbb{C}.$$

$$(2) C_2(\mathbb{C}) = \{(z + u)^2 + v : v \neq 0\} \\ \cong \mathbb{C} \times \mathbb{C}^* \simeq S^1.$$

Arnold's results

Arnold first studied the homology of $C_k(\mathbb{C})$ systematically. The results are:

(1) **Finiteness Theorem:** For $q \geq 2$, $H_q(C_k(\mathbb{C}); \mathbb{Z})$ is a finite group.

(2) **Repetition Theorem:** For $q \geq 0$, we have

$$H_q(C_{2d}(\mathbb{C}); \mathbb{Z}) \cong H_q(C_{2d+1}(\mathbb{C}); \mathbb{Z}).$$

(But $C_{2d}(\mathbb{C})$ and $C_{2d+1}(\mathbb{C})$ are not homotopy equivalent, because the fundamental groups are not isomorphic.)

(3) **Stability Theorem:** Fix q . Then for $k \geq 2q$, we have

$$H_q(C_k(\mathbb{C}); \mathbb{Z}) \cong H_q(C_\infty(\mathbb{C}); \mathbb{Z}).$$

Moreover, Arnold calculated $H_q(C_k(\mathbb{C}); \mathbb{Z})$ for $1 \leq q \leq 5$. The result is given by the following table.

Table 1. The groups $H_q(C_k(\mathbb{C}); \mathbb{Z})$ for $1 \leq q \leq 5$.

$k \setminus q$	1	2	3	4	5
0, 1	0	0	0	0	0
2, 3	\mathbb{Z}	0	0	0	0
4, 5	\mathbb{Z}	$\mathbb{Z}/2$	0	0	0
6, 7	\mathbb{Z}	$\mathbb{Z}/2$	$\mathbb{Z}/2$	$\mathbb{Z}/3$	0
8, 9	\mathbb{Z}	$\mathbb{Z}/2$	$\mathbb{Z}/2$	$\mathbb{Z}/6$	$\mathbb{Z}/3$
10, 11	\mathbb{Z}	$\mathbb{Z}/2$	$\mathbb{Z}/2$	$\mathbb{Z}/6$	$\mathbb{Z}/6$
⋮	⋮	⋮	⋮	⋮	⋮
∞	\mathbb{Z}	$\mathbb{Z}/2$	$\mathbb{Z}/2$	$\mathbb{Z}/6$	$\mathbb{Z}/6$

Note that the stability theorem indeed holds.

- Fred Cohen (1976), using another approach, determined both $H_*(C_k(\mathbb{C}); \mathbb{Z}/p)$ (where p is a prime) as modules over the Steenrod algebra, and $H_*(C_k(\mathbb{C}); \mathbb{Z})$.

- Using this, Brown and Peterson determined the stable homotopy type of $C_k(\mathbb{C})$. Let

$$\Omega^2 S^3 \underset{s}{\simeq} \bigvee_{1 \leq q} D_q(S^1)$$

be Snaitch's stable splitting. Then

Theorem (Brown-Peterson, 1978).

$$C_k(\mathbb{C}) \underset{s}{\simeq} \bigvee_{q=1}^{\lfloor \frac{k}{2} \rfloor} D_q(S^1),$$

where $\lfloor \frac{k}{2} \rfloor$ is the largest integer $\leq \frac{k}{2}$.

By the repetition theorem, the number $\lfloor \frac{k}{2} \rfloor$ is reasonable.

Consequently, the bottom row of Table 1 (i.e., when $k = \infty$) turns out to be $H_*(\Omega^2 S^3; \mathbb{Z})$.

$H_*(C_k(\mathbb{C}); \mathbb{Z})$ is known completely now. But we review Arnold's proof.

Arnold's proof

We set

$P_{k,n}^l = \{f(z) = z^k + a_1 z^{k-1} + \dots + a_k : a_i \in \mathbb{C}, \text{ the number of } n\text{-fold roots of } f(z) \text{ is at most } l\}$.

Here two n -fold roots may coincide. Hence,

$f(z) \in P_{k,n}^l \Leftrightarrow (\alpha(z))^n \nmid f(z)$ for any $\alpha(z)$ of degree $l + 1$.

It is natural to assume $n \geq 2$. In particular,

$$P_{k,2}^0 = C_k(\mathbb{C}).$$

Example 1.

(1) For $l \geq d$,

$$P_{nd,n}^l = \mathbb{C}^{nd} \simeq \{\text{a point}\}.$$

(2)

$$P_{nd,n}^{d-1} \cong \mathbb{C}^{nd} - \mathbb{C}^d \simeq S^{2(n-1)d-1}.$$

Proof. (1) is clear. For (2), we must exclude polynomials $f(z)$ of the form $f(z) = (\alpha(z))^n$ for some $\alpha(z)$. ■

Induction. Fix n . By induction with making k larger and l smaller, we obtain information on $P_{k,n}^l$ for all k, n and l . (For example, induction proceeds from Example 1 (1) to Example 1 (2).)

In particular, the case $n = 2$ and $l = 0$ is the above Arnold's results.

Remark. To be exact, Arnold considered the complement $S^{2k} - P_{k,n}^l$ instead of $P_{k,n}^l$.

A table in low dimensions

Arnold calculated

$$H_*(P_{2k+i,2}^{k-1}; \mathbb{Z}) \quad (i \geq 0)$$

in low dimensions. The results are:

1. For $1 \leq q \leq 2k - 2$,

$$H_q(P_{2k+i,2}^{k-1}; \mathbb{Z}) = 0.$$

2. For $2k - 1 \leq q \leq 2k + 3$,

$$H_q(P_{2k+i,2}^{k-1}; \mathbb{Z})$$

are cyclic and the orders are given by the following table.

Table 2. The orders of the groups

$$H_q(P_{2k+i,2}^{k-1}; \mathbb{Z})$$

for $2k - 1 \leq q \leq 2k + 3$.

$i \setminus q$	$2k - 1$	$2k$	$2k + 1$
0, 1	∞	0	0
2, 3	∞	$k + 1$	0
4, 5	∞	$k + 1$	$2/k$
6, 7	∞	$k + 1$	$2/k$
8, 9	∞	$k + 1$	$2/k$
\vdots	\vdots	\vdots	\vdots
∞	∞	$k + 1$	$2/k$

$i \setminus q$	$2k + 2$	$2k + 3$
0, 1	0	0
2, 3	0	0
4, 5	$(k + 2)/2$	0
6, 7	$((k + 2)/2)(2/k)$	$3/k$
8, 9	$((k + 2)/2)(2/k)$	$6/kv$
\vdots	\vdots	\vdots
∞	$((k + 2)/2)(2/k)$	$6/kv$

Here

1. We introduce the notation

$$a/b = \frac{a}{\gcd(a, b)},$$

where $\gcd(a, b)$ is the greatest common divisor of the integers a and b .

2. **Stability Theorem:** Fix k and q . In each column, we go downward. Then the homology stabilizes when

$$i \geq 2(q - 2k + 1).$$

3. We have

$$v = \begin{cases} 1 & \text{if } k \not\equiv 1 \pmod{4} \\ 1 \text{ or } 2 & \text{if } k \equiv 1 \pmod{4}. \end{cases}$$

But the exact value is left unknown.

Question. Is it possible to reconstruct Table 2 using standard techniques in algebraic topology?

Here “standard techniques in algebraic topology” means:

1. We allow to use the structure of $H_*(\Omega^2 S^3; \mathbb{Z}/p)$.
2. We allow to use spectral sequences for fibrations, e.g., Serre or Eilenberg-Moore.
3. We want to avoid inductive arguments.

§2. The space of rational functions

Definition of $\text{Rat}_k(\mathbb{C}P^{n-1})$

We set

$\text{Rat}_k(\mathbb{C}P^{n-1}) = \{(p_1(z), \dots, p_n(z)) :$
each $p_i(z)$ is a monic polynomial over \mathbb{C}
of degree k and such that there are
no roots common to all $p_i(z)\}$.

$\text{Rat}_k(\mathbb{C}P^{n-1})$ is considered to be the space
of holomorphic maps

$$S^2 \rightarrow \mathbb{C}P^{n-1}$$

of degree k with the basepoint condition

$$\infty \mapsto [1, \dots, 1].$$

There is an inclusion

$$i_{k,n} : \text{Rat}_k(\mathbb{C}P^{n-1}) \hookrightarrow \Omega_k^2 \mathbb{C}P^{n-1} \simeq \Omega^2 S^{2n-1}.$$

Example.

$$\begin{aligned} \text{Rat}_1(\mathbb{C}P^{n-1}) &= \{(z + \alpha_1, \dots, z + \alpha_n) : \\ &\quad \alpha_i \neq \alpha_j \text{ for some } i, j\} \\ &= \mathbb{C}^n - \text{diagonal set} \\ &\simeq S^{2n-3}. \end{aligned}$$

Hence, the generator of $\pi_{2n-3}(\Omega^2 S^{2n-1})$ is constructed in $\text{Rat}_1(\mathbb{C}P^{n-1})$. Moreover, the following theorem holds.

Theorem (Segal, 1979). $i_{k,n}$ is a homotopy equivalence up to dimension $k(2n - 3)$.

That is, the homomorphism

$$i_{k,n,*} : \pi_q(\text{Rat}_k(\mathbb{C}P^{n-1})) \rightarrow \pi_q(\Omega^2 S^{2n-1})$$

is

$$\begin{cases} \text{an isomorphism when } q < k(2n - 3) \\ \text{an epimorphism when } q = k(2n - 3). \end{cases}$$

This theorem implies that $\text{Rat}_k(\mathbb{C}P^{n-1})$ is a good finite dimensional model which approximates an infinite dimensional manifold $\Omega^2 S^{2n-1}$.

Later, the stable homotopy type of $\text{Rat}_k(\mathbb{C}P^{n-1})$ was determined. Let

$$\Omega^2 S^{2n-1} \underset{s}{\simeq} \bigvee_{1 \leq q} D_q(S^{2n-3})$$

be Snait's stable splitting. Then

Theorem (F.Cohen-R.Cohen- Mann-Milgram, 1991).

$$\text{Rat}_k(\mathbb{C}P^{n-1}) \underset{s}{\simeq} \bigvee_{q=1}^k D_q(S^{2n-3}).$$

In particular, the homomorphism

$$i_{k,n,*} : H_*(\text{Rat}_k(\mathbb{C}P^{n-1}); \mathbb{Z}) \rightarrow H_*(\Omega^2 S^{2n-1}; \mathbb{Z})$$

is injective.

Relationship between P and Rat

We have the following 2 spaces:

$$P_{k,n}^l = \{f(z) = z^k + a_1 z^{k-1} + \dots + a_k : \\ a_i \in \mathbb{C}, \text{ the number of } n\text{-fold roots} \\ \text{of } f(z) \text{ is at most } l\}$$

and

$$\text{Rat}_k(\mathbb{C}P^{n-1}) = \{(p_1(z), \dots, p_n(z)) : \\ \text{each } p_i(z) \text{ is a monic polynomial over } \mathbb{C} \\ \text{of degree } k \text{ and such that there are} \\ \text{no roots common to all } p_i(z)\}.$$

Concerning them, we have the following 2 theorems:

- Brown-Peterson: $P_{k,2}^0 \underset{s}{\simeq} \bigvee_{q=1}^{\lfloor \frac{k}{2} \rfloor} D_q(S^1).$

- Cohen et al. for $n = 2$:

$$\text{Rat}_k(\mathbb{C}P^1) \underset{s}{\simeq} \bigvee_{q=1}^k D_q(S^1).$$

Combining these theorems, we obtain:

$$(1) \quad P_{k,2}^0 \underset{s}{\simeq} \text{Rat}_{\lfloor \frac{k}{2} \rfloor}(\mathbb{C}P^1).$$

Remark: We cannot improve (1) to an unstable homotopy equivalence, since π_1 of the both sides are not isomorphic.

Later, (1) was generalized to the following:

Theorem (Vassiliev, 1992).

$$(2) \quad P_{k,n}^0 \underset{s}{\simeq} \text{Rat}_{\left[\frac{k}{n}\right]}(\mathbb{C}P^{n-1}).$$

Remark. For $n \geq 3$, we can improve Vassiliev's theorem to an unstable homotopy equivalence if we combine the theorems of R. Cohen-Shimamoto, and Guest-Kozłowski-Yamaguchi.

§3. Main results

Purpose

We have the following 3 theorems:

- Segal: The inclusion

$$i_{k,n} : \text{Rat}_k(\mathbb{C}P^{n-1}) \hookrightarrow \Omega_k^2 \mathbb{C}P^{n-1} \simeq \Omega^2 S^{2n-1}$$

is a homotopy equivalence up to dimension $k(2n - 3)$.

- Cohen et al.:

$$\text{Rat}_k(\mathbb{C}P^{n-1}) \underset{s}{\simeq} \bigvee_{q=1}^k D_q(S^{2n-3}).$$

- Vassiliev:

$$P_{k,n}^0 \underset{s}{\simeq} \text{Rat}_{\left[\frac{k}{n}\right]}(\mathbb{C}P^{n-1}).$$

We want to generalize these theorems.

About Vassiliev's theorem, we generalize as follows:

The left-hand side: Generalize to $P_{k,n}^l$.

$P_{k,n}^l = \{f(z) = z^k + a_1 z^{k-1} + \dots + a_k : a_i \in \mathbb{C}, \text{ the number of } n\text{-fold roots of } f(z) \text{ is at most } l\}.$

The right-hand side: What is the space which generalizes $\text{Rat}_k(\mathbb{C}P^{n-1})$?

Definition of $X_{k,n}^l$

We set

$X_{k,n}^l = \{(p_1(z), \dots, p_n(z)) :$
each $p_i(z)$ is a monic polynomial over \mathbb{C}
of degree k and such that there are
at most l roots common to all $p_i(z)\}$.

Here two common roots may coincide.
Clearly

$$X_{k,n}^0 = \text{Rat}_k(\mathbb{C}P^{n-1}).$$

Remark. The space $X_{k,n}^l$ was suggested
by Fred Cohen.

Example 2.

(1) For $l \geq d$,

$$X_{d,n}^l = (\mathbb{C}^d)^n \simeq \{\text{a point}\}.$$

(2) $X_{d,n}^{d-1} \cong (\mathbb{C}^d)^n - \text{diagonal set}$
 $\simeq S^{2(n-1)d-1}.$

Proof. (1) is clear. For (2), we must exclude n -tuples

$$(p_1(z), \dots, p_n(z))$$

of polynomials which satisfy

$$p_1(z) = \dots = p_n(z).$$

■

We want to give Segal type, Cohen et al. type and Vassiliev type theorems for $X_{k,n}^l$. For that purpose, we need some notations.

Homotopy fibre

We set

(1) $J^l(2n-2)$: the l -th stage of the James construction which builds ΩS^{2n-1} . That is,

$$J^l(2n-2) \simeq S^{2n-2} \cup e^{2(2n-2)} \cup \dots \cup e^{l(2n-2)}.$$

(2) $W^l(n)$: the homotopy theoretic fibre of the inclusion

$$J^l(2n-2) \hookrightarrow \Omega S^{2n-1}.$$

In particular,

$$W^0(n) = \Omega^2 S^{2n-1}.$$

(3) Wong generalized Snaith's stable splitting as follows.

$$W^l(n) \underset{s}{\simeq} \bigvee_{1 \leq q} D_q \xi^l(n).$$

Theorem 1 [Segal type] (K, 2003).

There is an unstable map

$$\alpha_{k,n}^l : X_{k,n}^l \rightarrow W^l(n)$$

which is a homotopy equivalence up to dimension

$$\left[\frac{k}{l+1} \right] (2(l+1)(n-1) - 1).$$

We will not use this theorem later.

Theorem 2 [Cohen et al. type] (K, 2001).

$$X_{k,n}^l \underset{s}{\simeq} \bigvee_{q=1}^k D_q \xi^l(n).$$

From Theorem 2, we can calculate $H_*(X_{k,n}^l; \mathbb{Z}/p)$, where p is a prime. This is the subspace of $H_*(W^l(n); \mathbb{Z}/p)$ spanned by monomials of weight $\leq k$.

Theorem 3 [Vassiliev type] (K, 2003).

Except when $(n, l) = (2, 0)$, there is a homotopy equivalence

$$P_{k,n}^l \simeq X_{\left[\begin{smallmatrix} k \\ n \end{smallmatrix} \right], n}^l.$$

As mentioned above, this holds stably when $(n, l) = (2, 0)$.

Note that Theorem 3 indeed holds between Examples 1 and 2:

Example 1.

(1) For $l \geq d$,

$$P_{nd,n}^l = \mathbb{C}^{nd} \simeq \{\text{a point}\}.$$

(2)

$$P_{nd,n}^{d-1} \cong \mathbb{C}^{nd} - \mathbb{C}^d \simeq S^{2(n-1)d-1}.$$

Example 2.

(1) For $l \geq d$,

$$X_{d,n}^l = (\mathbb{C}^d)^n \simeq \{\text{a point}\}.$$

(2) $X_{d,n}^{d-1} \cong (\mathbb{C}^d)^n - \text{diagonal set}$
 $\simeq S^{2(n-1)d-1}.$

Table 2. The orders of the groups

$$H_q(P_{2k+i,2}^{k-1}; \mathbb{Z})$$

for $2k - 1 \leq q \leq 2k + 3$.

$i \setminus q$	$2k - 1$	$2k$	$2k + 1$
0, 1	∞	0	0
2, 3	∞	$k + 1$	0
4, 5	∞	$k + 1$	$2/k$
6, 7	∞	$k + 1$	$2/k$
8, 9	∞	$k + 1$	$2/k$
\vdots	\vdots	\vdots	\vdots
∞	∞	$k + 1$	$2/k$

$i \setminus q$	$2k + 2$	$2k + 3$
0, 1	0	0
2, 3	0	0
4, 5	$(k + 2)/2$	0
6, 7	$((k + 2)/2)(2/k)$	$3/k$
8, 9	$((k + 2)/2)(2/k)$	$6/kv$
\vdots	\vdots	\vdots
∞	$((k + 2)/2)(2/k)$	$6/kv$

Reconstruction of Table 2

(1) By Theorem 3,

$$P_{2k+i,2}^{k-1} \simeq X_{k+\left[\frac{i}{2}\right],2}^{k-1}.$$

Hence, we calculate the right-hand side.

(2) By Theorem 2, as a vector space,

$$H_*\left(X_{k+\left[\frac{i}{2}\right],2}^{k-1}; \mathbb{Z}/p\right)$$

is isomorphic to the subspace of

$$H_*(W^{k-1}(2); \mathbb{Z}/p)$$

spanned by monomials of weight $\leq k + \left[\frac{i}{2}\right]$.

(3) We can determine

$$H_*(W^{k-1}(2); \mathbb{Z}/p)$$

from the mod p Serre spectral sequence for the fibration

$$\Omega^2 S^3 \rightarrow W^{k-1}(2) \rightarrow J^{k-1}(2).$$

(4) If we follow the steps (1)-(3), then we can prove that the value of the indeterminacy v in Table 2 is 1 when $k \equiv 1 \pmod{4}$.

Example

We calculate the case $i = \infty$ and $q = 2k$. By Theorems 2 and 3,

$$H_{2k}(P_{\infty,2}^{k-1}; \mathbb{Z}) \cong H_{2k}(W^{k-1}(2); \mathbb{Z}).$$

Hence, it suffices to prove

$$H^{2k+1}(W^{k-1}(2); \mathbb{Z}) \cong \mathbb{Z}/(k+1)$$

We can consider the Serre spectral sequence for the fibration

$$W^{k-1}(2) \rightarrow J^{k-1}(2) \rightarrow \Omega S^3.$$

Recall that

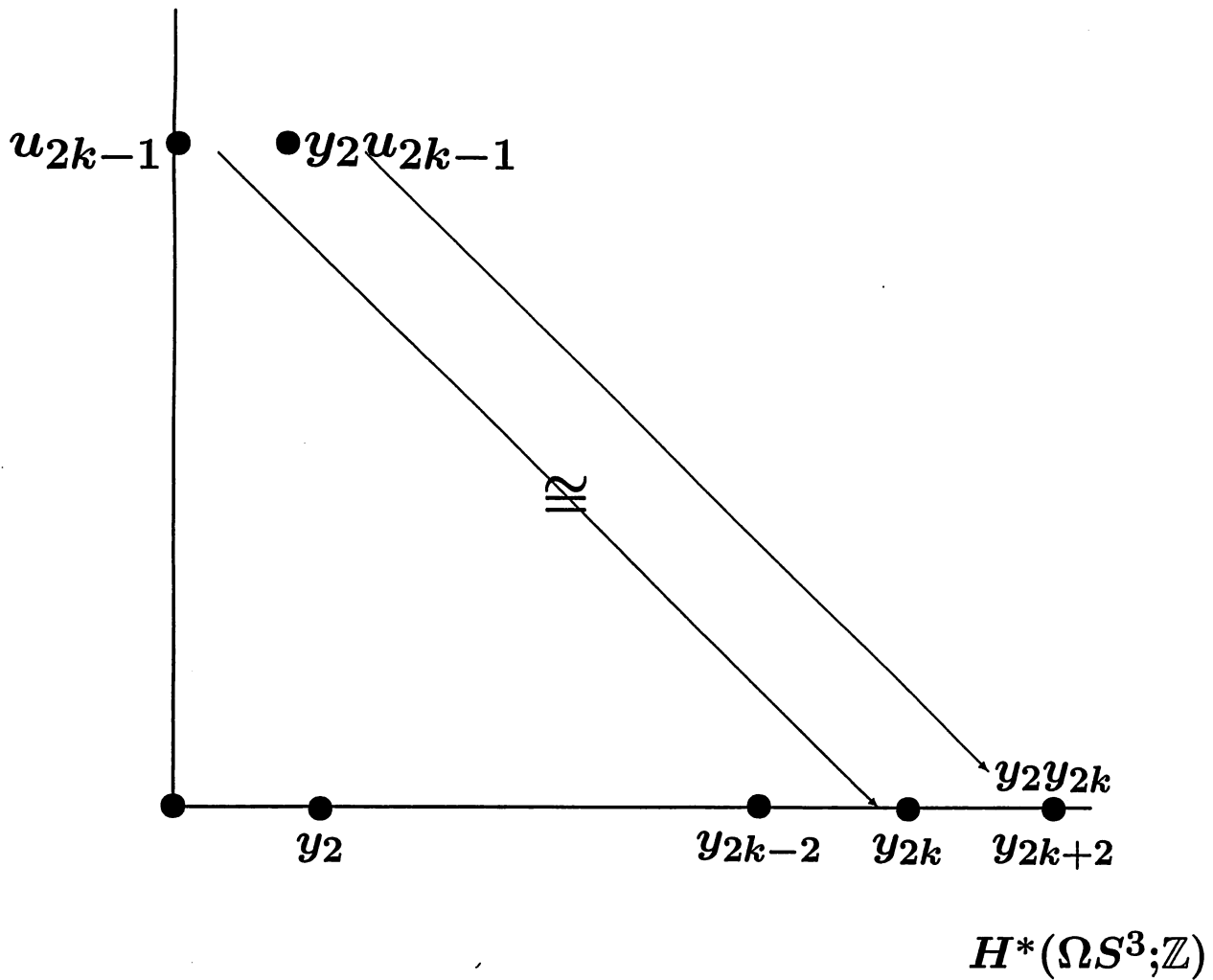
$$H^*(\Omega S^3; \mathbb{Z}) \cong \Gamma(x_2),$$

the divided power algebra. That is,

$$y_{2j} := \frac{x_2^j}{j!}$$

is the generator of $H^{2j}(\Omega S^3; \mathbb{Z})$.

$$H^*(W^{k-1}(2); \mathbb{Z})$$



First, let

$$u_{2k-1} \in H^{2k-1}(W^{k-1}(2); \mathbb{Z}) \cong \mathbb{Z}$$

be the generator which kills y_{2k} .

Next, since

$$y_2 y_{2k} = x_2 \frac{x_2^k}{k!} = (k+1) y_{2k+2},$$

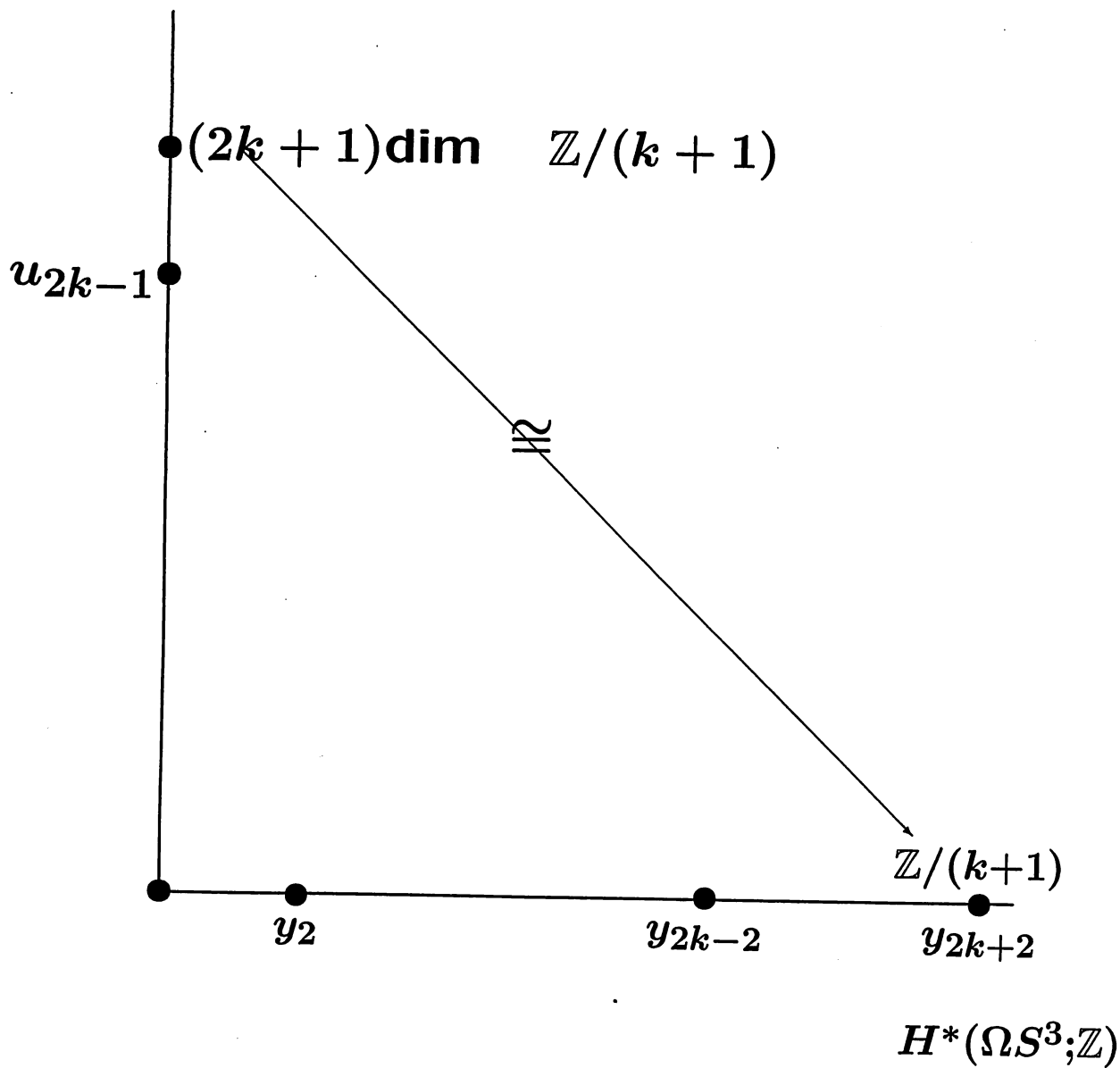
the spectral sequence becomes as follows.

Then we must have

$$H^{2k+1}(W^{k-1}(2); \mathbb{Z}) \cong \mathbb{Z}/(k+1).$$

This is what we wanted to prove. ■

$H^*(W^{k-1}(2); \mathbb{Z})$



A concluding remark

Today we considered one of 4 cases. That is, for $(p_1(z), \dots, p_n(z))$, there are cases

(1) $p_i(z)$ is a polynomial over \mathbb{R} or \mathbb{C} .

(2) whether a point $\in \mathbb{C}$ off the real axis can be a common root.

For example, when $p_i(z)$ is a polynomial over \mathbb{R} and $p_i(z)$ ($1 \leq i \leq n$) may have common roots, but none of the common roots lie on the real axis. Then $(p_1(z), \dots, p_n(z))$ is considered to be an element of

$$\Omega_{k \bmod 2} \mathbb{R}P^{n-1} \simeq \Omega S^{n-1},$$

where $S^1 = \mathbb{R} \cup \{\infty\}$. Note that is a single loop space.

Today's theorems hold for these 4 cases under suitable modifications.